



A GO-TO FIRM  
HELPING CLIENTS  
FEEL ASSURED

Nearly three out of four companies in the U.S. have experienced some type of fraud event, according to recent fraud investigation surveys. Yet the majority of fraud carried out in small businesses could be prevented through improved corporate governance and better internal controls.

The need for internal controls in today's business environment is clear. In addition to helping companies mitigate errors and fraudulent activities, these controls are the key to safeguarding corporate assets, ensuring financial information is accurate and reliable, and ultimately, driving your business objectives.

# 3 OUT OF 4

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## INTERNAL CONTROLS AND AUDITING SERVICES

Warren Averett's Security, Risk and Controls group assists businesses with documenting and implementing new systems of internal controls, as well as identifying areas for improvement in those systems. Due to major financial and accounting debacles over recent years, enhanced focus has

been placed to improve internal controls and corporate governance.



Warren Averett offers a variety of internal control examinations to assist your company in analyzing, documenting, designing or reviewing internal controls for your company. Some of our services include:

### Internal Controls Assessments

- Financial and information security risk assessments
- Internal control examinations

- Internal control design and implementation
- Internal control testing of operating effectiveness

### Internal Auditing

- Internal audit co-sourcing and outsourcing arrangements
- Specialty internal audits (information technology, operational, etc.)
- Internal audit staff augmentation
- Agreed-upon procedures and engagements

### Sarbanes-Oxley Compliance

- Sarbanes-Oxley compliance consulting
- Internal control compliance testing
- Assistance with deficiency remediation

### Service Organization Control (SOC)

#### Suite of Services

- SOC Readiness Assessments
- SOC 1® - SOC for Service Organizations: ICFR (Type 1 & 2)
- Trust Service Criteria Examinations
  - › SOC 2® - SOC for Service Organizations (Type 1 & 2)
  - › SOC 3® - SOC for Service Organizations (General Use Report)



OUR TURF IS EVER EXPANDING  
TO HELP YOU  
THRIVE IN YOURS

For a current list of locations,  
visit [warrenaverett.com/offices](http://warrenaverett.com/offices)

### BENEFITS OF A STRONG INTERNAL CONTROL ENVIRONMENT:

- Reduces overall business and fraud risk
- Strengthens corporate governance and compliance
- Facilitates company growth
- Enhances business processes
- Reduces compliance cost over time

For more information on how Warren Averett can enhance your organization's internal controls, contact one of our professionals at 800.759.7857 or visit [www.warrenaverett.com](http://www.warrenaverett.com)