



**LISA C. SMALL, CERP**  
**Compliance Principal**

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Lisa Small joined Warren Averett in 2019 and is a Compliance Principal in the Firm with more than 20 years of experience in the financial services industry. Lisa's current responsibilities include providing consulting services for the Firm's financial institution clients. Lisa also develops new business for the Firm, focusing on the Georgia banking market. Lisa lives with her husband and their much spoiled pup in her hometown of Smyrna, Georgia.

**Professional Affiliations**

- Risk Management Association

**Community Involvement**

- Chastain Park Conservancy
- Best Friends Animal Society

**Ask Me About**

- My experience in banking
- My experience with compliance and regulatory concerns
- My experience with risk management
- How I became a Certified Dog Trainer
- My experience playing with wolves
- Being an Atlanta native



**Designations and Certifications**

American Bankers Association Operation Risk Certificate  
American Bankers Association Risk Management Frameworks  
American Bankers Association Wharton Emerging Leaders Certificate

**Areas of Special Emphasis**

Financial Services, Internal Auditing, Business Strategy, Enterprise Risk Management

**Education**

Bachelor of Business Administration  
Kennesaw State University, Kennesaw, GA