

CLIENT SECURITY STATEMENT

Warren Averett's culture has a foundation shaped by its seven Culture and Values statements. The first and foremost of these statements is: Integrity in all we do, through truthful communications, ethical behavior and honoring our commitments. At a more granular level, Warren Averett's Confidentiality of Client Matters policy protects all client information in strict confidence and prevents unauthorized disclosure of client information. This policy states that employees should only access a client file in order to provide services for a client, and this policy is enforced by termination of employment if not followed.

Information security is a serious matter at Warren Averett. The firm classifies security safeguards into three types: technical, physical and administrative. These safeguards are thorough and constantly changing to adapt to any threats and to keep data safe. The following provides an overview, but is not inclusive of all of the safeguards in place.

TECHNICAL SAFEGUARDS

- Access Control that starts with domain level security and persists down to the software with unique user permissions. Only identified individuals within the firm have access to specific client data.
- Audit controls through software and through logs.
- Integrity controls to prevent improper access, alteration or destruction.
- Firewalls that are monitored at network perimeter with intrusion prevention and detection.
- Data security with data classifications.
- Transmission of data through encryption.
- Workstation and mobile device policies.
- Anti-virus and patch management software that is centrally managed.

PHYSICAL SAFEGUARDS

- Electronic facility access controls.
- Workstation and mobile device security.
- Media use controls that pertain to use and destruction.

ADMINISTRATIVE SAFEGUARDS

- Security Management Processes that include risk analysis and risk management of data.
- Assigned security responsibilities.
- Information access management teams that review when and how data is accessed.
- Security awareness and training.
- Security incident procedures.
- Data backup and disaster recovery plans.
- Periodic technical and non-technical evaluations.

Information security is an evolving standard. The industry's best practices are applied to security measures throughout the organization.

If you have further questions or would like to discuss our security posture, your Warren Averett advisor is happy to schedule a call with our Security Team.